



Attorney's Docket No.: 042390P8136

Patent

In re the Application of: Kenneth C. Cadien et al.

(inventor(s))

Application No.: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING

(title)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

SIR: Transmitted herewith is an Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
 No additional fee is required.

The fee has been calculated as shown below:

| | (Col. 1) | (Col. 2) | (Col. 3) | |
|---------------|-----------------------------|---------------------------------|---------------|--|
| | Claims Remaining After Amd. | Highest No. Previously Paid For | Present Extra | |
| Total Claims | * 27 | Minus ** 30 | | |
| Indep. Claims | * 7 | Minus *** 7 | | |

First Presentation of Multiple Dependent Claim(s)

| SMALL ENTITY | |
|----------------|----------------|
| Rate | Additional Fee |
| X9 | \$ |
| X42 | \$ |
| +140 | \$ |
| Total Add. Fee | \$ |

| OTHER THAN A SMALL ENTITY | |
|---------------------------|----------------|
| Rate | Additional Fee |
| X18 | \$ |
| X84 | \$ |
| +280 | \$ |
| Total Add. Fee | \$ |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on December 4, 2001
Date of Deposit

Teresa Edwards

Name of Person Mailing Correspondence

Signature

December 4, 2001

Date

A check in the amount of \$ _____ is attached for presentation of additional claim(s).
Applicant(s) hereby Petition(s) for an Extension of Time of ONE _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

A check for \$ 110.00 is attached for processing fees under 37 C.F.R. § 1.17.
Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.

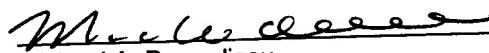
The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 12/6/01



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Patent

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2/12/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth C. Cadie et al.

U.S. Serial No: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL
MECHANICAL POLISHING

Examiner: Chen, K.

Art Unit: 1765

Assistant Commissioner for Patents
and Trademarks
Washington, D.C. 20231

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AMENDMENT AND RESPONSE

Dear Sir:

This is in response to the Office Action mailed August 6, 2001. Applicant respectfully requests the Examiner to enter the following amendments and consider the following remarks.

FIRST CLASS CERTIFICATE OF MAILING

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on December 6, 2001
Date of Deposit

Teresa Edwards

Name of Person Mailing Correspondence

Teresa Edwards

Signature

December 6, 2001

Date

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